


FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	1001.1512101	10/630,308
	Applicant: Robert M. Rauker et al.	
	Filing Date: July 30, 2003	Group Art Unit: Unknown

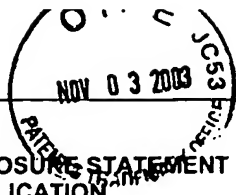
U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
GD	6,596,011	07/22/03	Johnson et al.		
	6,569,148	05/27/03	Bagaoisan et al.		
	6,406,471	06/18/02	Jang et al.		
	6,346,116	02/12/02	Brooks et al.		
	6,344,049	02/05/02	Levinson et al.		
	6,319,268	11/20/01	Ambrisco et al.		
	6,309,399	10/30/01	Barbut et al.		
	6,306,163	10/23/01	Fitz		
	6,290,710	09/18/01	Cryer et al.		
	6,287,321	09/11/01	Jang		
	6,280,413	08/28/01	Clark et al.		
	6,277,139	08/21/01	Levinson et al.		
	6,277,138	08/21/01	Levinson et al.		
	6,270,513	08/07/00	Tsugita et al.		
	6,270,477	08/07/01	Bagaoisan et al.		
	6,264,672	07/24/01	Fisher		
	6,264,663	07/24/01	Cano		
	6,258,115	07/10/01	Dubrul		
	6,245,089	06/12/01	Daniel et al.		
	6,245,088	06/12/01	Lowery		
	6,245,087	06/12/01	Addis		
	6,238,412	05/29/01	Dubrul et al.		
	6,235,045	05/22/01	Barbut et al.		
	6,235,044	05/22/01	Root et al.		
	6,231,544	05/15/01	Tsugita et al.		
	6,224,620	05/01/01	Maahs		
GD	6,221,006	04/24/01	Dubrul et al.		

EXAMINER: /Glenn Dawson/ (08/03/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449*		Docket Number:	Application Number:
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		1001.1512101	10/630,308
		Applicant: Robert M. Rauker et al.	
		Filing Date: July 30, 2003	Group Art Unit: Unknown

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
GD	6,214,026	04/10/01	Lepak et al.		
	6,206,868	03/24/01	Parodi		
	6,203,561	03/20/01	Ramee et al.		
	6,179,861	01/30/01	Khosravi et al.		
	6,179,859	01/30/01	Bates et al.		
	6,179,851	01/30/01	Barbut et al.		
	6,171,328	01/09/01	Addis		
	6,171,327	01/09/01	Daniel et al.		
	6,168,579	01/02/01	Tsugita		
	6,165,200	12/26/00	Tsugita et al.		
	6,152,947	11/28/00	Ambrisco et al.		
	6,152,946	11/28/00	Broome et al.		
	6,152,909	11/28/00	Bagaoisan et al.		
	6,142,987	11/07/00	Tsugita		
	6,136,016	10/24/00	Barbut et al.		
	6,135,991	10/24/00	Muni et al.		
	6,129,739	10/10/00	Khosravi		
	6,117,154	09/12/00	Barbut et al.		
	6,086,605	07/11/00	Barbut et al.		
	6,068,645	05/30/00	Tu		
	6,066,158	05/23/00	Engelson et al.		
	6,066,149	05/23/00	Samson et al.		
	6,059,814	05/09/00	Ladd		
	6,053,932	04/25/00	Daniel et al.		
	6,051,015	04/18/00	Maahs		
	6,051,014	04/18/00	Jang		
GD	6,042,598	03/28/00	Tsugita et al.		

EXAMINER: /Glenn Dawson/ (08/03/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	



FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	1001.1512101	10/630,308
	Applicant: Robert M. Rauker et al.	
	Filing Date: July 30, 2003	Group Art Unit: Unknown

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
GD	6,027,520	02/22/0	Tsugita et al.		
	6,013,085	01/11/00	Howard		
	6,010,522	01/04/00	Barbut et al.		
	6,007,557	12/28/99	Ambrisco et al.		
	6,001,118	12/14/99	Daniel et al.		
	5,997,557	12/07/99	Barbut et al.		
	5,993,469	11/30/99	McKenzie et al.		
	5,989,281	11/23/99	Barbut et al.		
	5,980,555	11/09/99	Barbut et al.		
	5,954,745	09/21/99	Gertler et al.		
	5,947,995	09/07/99	Samuels		
	5,941,896	08/24/99	Kerr		
	5,941,869	08/24/99	Patterson et al.		
	5,935,139	08/10/99	Bates		
	5,925,062	07/20/99	Purdy		
	5,925,060	07/20/99	Forber		
	5,925,016	07/20/99	Chornenky et al.		
	5,911,734	06/15/99	Tsugita et al.		
	5,910,154	06/08/99	Tsugita et al.		
	5,895,399	04/20/99	Barbut et al.		
	5,876,367	03/02/99	Kaganov et al.		
	5,848,964	12/15/98	Samuels		
	5,846,260	12/08/98	Maahs		
	5,833,650	11/10/98	Imran		
	5,833,644	11/10/98	Zadno-Azizi et al.		
	5,827,324	10/27/98	Cassell et al.		
GD	5,814,064	09/29/98	Daniel et al.		

EXAMINER: /Glenn Dawson/ (08/03/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	



FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	1001.1512101	10/630,308
	Applicant: Robert M. Rauker et al.	
	Filing Date: July 30, 2003	Group Art Unit: Unknown

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
GD	5,810,874	09/22/98	Lefebvre		
	5,800,525	09/01/98	Bachinski et al.		
	5,800,457	09/01/98	Gelbfish		
	5,795,322	08/18/98	Bouewijn		
	5,792,157	08/11/98	Mische et al.		
	5,779,716	07/14/98	Cano et al.		
	5,769,816	06/23/98	Barbut et al.		
	5,749,848	05/12/98	Jang et al.		
	5,728,066	03/17/98	Daneshvar		
	5,720,764	02/24/98	Naderlinger		
	5,695,519	12/09/97	Summers et al.		
	5,662,671	09/02/97	Barbut et al.		
	5,658,296	08/19/97	Bates et al.		
	5,549,626	08/27/96	Miller et al.		
	5,536,242	07/16/96	Willard et al.		
	5,462,529	10/31/95	Simpson et al.		
	5,456,667	10/10/95	Ham et al.		
	5,449,372	09/12/95	Schmaltz et al.		
	5,423,742	06/13/95	Theron		
	5,421,832	06/06/95	Lefebvre		
	5,376,100	12/27/94	Lefebvre		
	5,354,310	10/11/94	Garnie et al.		
	5,330,484	07/19/94	Gunther		
	5,329,942	07/19/94	Gunther et al.		
	5,224,953	07/06/93	Morgentaler		
	5,160,342	11/03/92	Reger et al.		
GD	5,152,777	10/06/92	Goldberg et al.		

EXAMINER: /Glenn Dawson/ (08/03/2006)	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	



FORM 1449*

INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
GD	5,152,771	10/06/92	Sabbaghian et al.		
	5,133,733	07/28/92	Rasmussen et al.		
	5,102,415	04/07/92	Guenther et al.		
	5,100,423	03/31/92	Fearnot		
	5,071,407	12/10/91	Termin et al.		
	5,053,008	10/01/91	Bajaj		
	5,011,488	04/30/91	Ginsburg		
	5,002,560	03/26/91	Machold et al.		
	4,998,539	03/12/91	Delsanti		
	4,969,891	11/13/90	Gewertz		
	4,926,858	05/22/90	Giffort, III et al.		
	4,921,484	05/01/90	Hillstead		
	4,921,478	05/01/90	Solano et al.		
	4,873,978	10/17/89	Ginsburg		
	4,807,626	02/28/89	McGirr		
	4,794,928	01/03/89	Kletschka		
	4,790,813	12/13/88	Kensey		
	4,790,812	12/13/88	Hawkins, Jr., et al.		
	4,723,549	02/09/88	Wholey et al.		
	4,706,671	11/17/87	Weinrib		
	4,650,466	03/17/87	Luther		
	4,619,246	10/28/86	Molgaard-Nielsen et al.		
	4,590,938	05/27/86	Segura et al.		
	4,425,908	01/17/84	Simon		
	4,046,150	09/06/77	Schwartz et al.		
	3,996,938	12/14/76	Clark, III		
GD	3,952,747	04/27/76	Kimmell, Jr.		

EXAMINER: /Glenn Dawson/ (08/03/2006)

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Page 5 of 11

FORM 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)



Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
GD	3,472,230	10/19/69	Fogarty		
GD	B1 4,842,579	10/31/95	Shiber		

FOREIGN PATENT DOCUMENTS

GD	WO 01/91824	12/06/01	WIPO		
	WO 01/89413	11/29/01	WIPO		
	WO 01/87183	11/22/01	WIPO		
	WO 01/72205	10/04/01	WIPO		
	WO 01/70326	09/27/01	WIPO		
	WO 01/67989	09/20/01	WIPO		
	EP 1 127 556 A2	08/29/01	EPO		
	WO 01/60442	08/23/01	WIPO		
	WO 01/58382	08/16/01	WIPO		
	WO 01/52768	07/26/01	WIPO		
	WO 01/49355	07/12/01	WIPO		
	WO 01/49215	07/12/01	WIPO		
	WO 01/49209	07/12/01	WIPO		
	WO 01/49208	07/12/01	WIPO		
	WO 01/47579	07/05/01	WIPO		
	WO 01/43662	06/21/01	WIPO		
	WO 01/35858	05/25/01	WIPO		
	WO 01/35857	05/25/01	WIPO		
	WO 01/26726	04/19/01	WIPO		
	WO 01/21100	03/29/01	WIPO		
	WO 01/21077	03/29/01	WIPO		
	WO 01/15629	03/08/01	WIPO		
	WO 01/10320	02/15/01	WIPO		
GD	WO 01/08743	02/08/01	WIPO		

EXAMINER: /Glenn Dawson/ (08/03/2006)

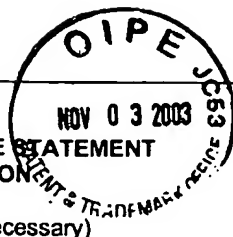
DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)



Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

GD	WO 01/08742	02/08/01	WIPO		
	WO 01/08596	02/08/01	WIPO		
	WO 01/08595	02/08/01	WIPO		
	WO 01/05462	01/25/01	WIPO		
	WO 00/67669	11/16/00	WIPO		
	WO 00/67668	11/16/00	WIPO		
	WO 00/67666	11/16/00	WIPO		
	WO 00/67665	11/16/00	WIPO		
	WO 00/67664	11/16/00	WIPO		
	DE 199 16 162	10/26/00	Germany		English Abstract
	WO 00/53120	09/14/00	WIPO		
	WO 00/49970	08/31/00	WIPO		
	WO 00/16705	03/30/00	WIPO		
	WO 00/09054	02/24/00	WIPO		
	WO 00/07655	02/17/00	WIPO		
	WO 00/07521	02/17/00	WIPO		
	WO 99/58068	11/18/99	WIPO		
	WO 99/55236	11/04/99	WIPO		
	WO 99/44542	09/10/99	WIPO		
	WO 99/44510	09/10/99	WIPO		
	EP 0 934 729	08/11/99	WIPO		
	WO 99/42059	08/26/99	WIPO		
	WO 99/40964	08/19/99	WIPO		
	WO 99/30766	06/24/99	WIPO		
	WO 99/25252	05/27/99	WIPO		
	WO 99/23976	05/20/99	WIPO		
	WO 99/22673	05/14/99	WIPO		
↓	FR 2 768 326 A1	03/19/99	FR		English Abstract
	WO 99/09895	03/04/99	WIPO		
GD	WO 98/55175	12/10/98	WIPO		

EXAMINER: /Glenn Dawson/ (08/03/2006)

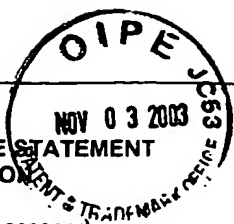
DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)



Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

GD	WO 98/51237	11/19/98	WIPO		
	WO 98/50103	11/12/98	WIPO		
	WO 98/49952	11/12/98	WIPO		
	WO 98/47447	10/29/98	WIPO		
	WO 98/46297	10/22/98	WIPO		
	WO 98/39053	09/11/98	WIPO		
	WO 98/39046	09/11/98	WIPO		
	WO 98/38929	09/11/98	WIPO		
	WO 98/38920	09/11/98	WIPO		
	WO 98/36786	08/27/98	WIPO		
	WO 98/34673	08/13/98	WIPO		
	WO 98/33443	08/06/98	WIPO		
	EP 0 852 132 A1	07/08/98	EPO		English Abstract
	WO 98/23322	06/04/98	WIPO		
	WO 98/02084	01/22/98	WIPO		
	WO 98/02112	01/22/98	WIPO		English Abstract
	WO 97/42879	11/20/97	WIPO		
	WO 97/27808	08/07/97	WIPO		
	EP 0 784 988 A1	07/23/97	EPO		
	WO 97/17100	05/15/97	WIPO		
	EP 0 771 549 A2	05/07/97	EPO		English Abstract
	EP 0 759 287 A1	02/26/97	EPO		English Abstract
	EP 0 743 046 A1	11/20/96	EPO		
	WO 96/33677	10/31/96	WIPO		
	EP 0 737 450 A1	10/16/96	EPO		
	WO 96/23441	08/08/96	WIPO		
	JP 8-187294 A	07/23/96	JP		English Abstract
↓	WO 96/19941	07/04/96	WIPO		
	WO 96/10375	04/11/96	WIPO		
GD	WO 96/01591	01/25/96	WIPO		

EXAMINER: /Glenn Dawson/ (08/03/2006)

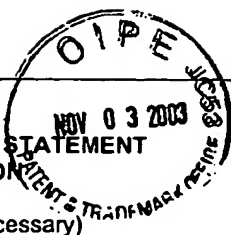
DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)



Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

GD	EP 0 696 447 A2	02/14/96	EP		
	EP 0 686 379 A2	06/02/95	EP		
	EP 0 655 228 A1	11/22/94	EPO		English Abstract
	WO 94/24946	11/10/94	WIPO		
	WO 94/14389	07/07/94	WIPO		English Abstract
	EP 0 630 617 A1	06/24/93	EP		
	EP 0 533 511 A1	03/24/93	EP		
	FR 2 694 687	08/12/92	FR		English Abstract
	FR 2 666 980	03/27/92	FR		English Abstract
	WO 92/03097	03/05/92	WIPO		
	EP 0 472 368 A2	02/26/92	EP		
	EP 0 472 334 A1	02/26/92	EP		
	EP 0 437 121 B1	07/17/91	EP		
	EP 0 427 429 A2	05/15/91	EPO		
	EP 0 411 118 A1	02/06/91	EP		
	DE 40 30 998 A1	10/1990	Germany		
	FR 2 643 250 A1	08/24/90	FR		English Abstract
	WO 88/09683	12/15/88	WIPO		
	EP 0 293 605 A1	12/07/88	EP		
	FR 2 580 504	10/24/86	FR		English Abstract
	EP 0 200 688	11/05/86	EP		
	DE 34 17 738	11/14/85	DE		Translation
	SU 764684	09/25/80	U.S.S.R.		Translation
	UK 2 020 557 B	01/19/83	UK		
GD	DE 28 21 048	07/17/80	DE		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

GD	“Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke,” The New England Journal of Medicine, pp. 1216-1221 (May 1996)
----	--

EXAMINER: /Glenn Dawson/ (08/03/2006)

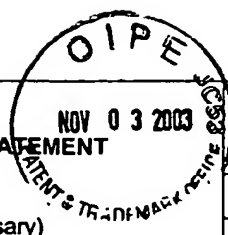
DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)



Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

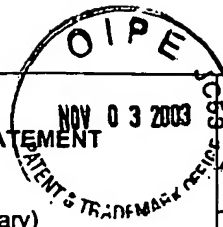
GD		"Endovascular Grafts, Stents Drive Interventional Radiology Growth," <u>Cardiovascular Device Update</u>, 2(3):1-12 (March 1996)
		"Protruding Atheromas in the Thoracic Aortic and Systemic Embolization," pp. 423-427 <u>American College of Physicians</u> (1991)
		"Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," <u>American College of Cardiology</u> (January 1991)
		Cragg, Andrew et al., "A New Percutaneous Vena Cava Filter," <u>AJR</u>, 141:601-604 (September 1983)
		Cragg, Andrew et al., "Nonsurgical Placement of Arterial Endoprosthesis: A New Technique Using Nitinol Wire," <u>AJR</u>, pp. 261-263 (April 1983)
		Diethrich et al., "Percutaneous Techniques for Endoluminal Carotid Interventions," <u>J. Endovasc. Surg.</u>, 3:182-202 (1996)
		Fadali, A. Moneim, "A filtering device for the prevention of particulate embolization during the course of cardiac surgery," <u>Surgery</u>, 64(3):634-639 (September 1968)
		Haissaguerre et al., "Spontaneous Initiation of Atrial Fibrillation by Ectopic Beats Originating in the Pulmonary Veins," <u>The New England Journal of Medicine</u>, 339(10):659-666 (September 1988)
		Jordan, Jr. et al., "Microemboli Detected by Transcranial Doppler Monitoring...", <u>Cardiovascular Surgery</u>, 7(1):33-38 (January 1999)
		Lesh, "Can Catheter Ablation Cure Atrial Fibrillation?" <u>ACC Current Journal Review</u>, pp. 38-40 (September/October 1997)
		Lund et al., "Long-Term Patency of Ductus Arteriosus After Balloon Dilation: an Experimental Study," <u>Laboratory Investigation</u>, 69(4):772-774 (April 1984)
		Marache et al., "Percutaneous Transluminal Venous Angioplasty...", <u>American Heart Journal</u>, 125(2 Pt 1):362-366 (February 1993)
		Mazur et al., "Directional Atherectomy with the Omnicath™: A Unique New Catheter System," <u>Catheterization and Cardiovascular Diagnosis</u>, 31:17-84 (1994)
		Moussa, MD, Issaam "Stents Don't Require Systemic Anticoagulation...But the Technique (and Results) Must be Optimal," <u>Journal of Invasive Cardiol.</u>, 8(E):3E-7E, (1996)
		Nakanishi et al., "Catheter Intervention to Venous System Using Expandable Metallic Stents," <u>Rinsho Kyobu Geka</u>, 14(2):English Abstract Only (April 1994)
↓		Onal et al., "Primary Stenting for Complex Atherosclerotic Plaques in Aortic and Iliac Stenoses," <u>Cardiovascular & Interventional Radiology</u>, 21(5):386-392 (1998)
GD		Theron et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," <u>American Journal of Neuroradiology</u>, 11:869-874 (1990)

EXAMINER: /Glenn Dawson/ (08/03/2006)

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Page 10 of 11



FORM 1449*

INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

1001.1512101

Application Number:

10/630,308

Applicant: Robert M. Rauker et al.

Filing Date: July 30, 2003

Group Art Unit: Unknown

GD	Tunick et al., "Protruding atherosclerotic plaque in the aortic arch of patients with systemic embolization: A new finding seen by transesophageal echocardiography," <u>American Heart Journal</u> 120(3):658-660 (September 1990)
GD	Waksman et al., "Distal Embolization is Common After Directional Atherectomy...", <u>American Heart Journal</u> , 129(3):430-435 (1995)
GD	Wholey, Mark H. et al., PTA and Stents in the Treatment of Extracranial Circulation," <u>The Journal of Invasive Cardiology</u> , 8(E):25E-30E (1996)

EXAMINER: /Glenn Dawson/ (08/03/2006)

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Page 11 of 11

